



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

Third Quarter Fiscal Year 2007/2008
(January, February, March 2008)

Submitted May 2008



Jane E. Johnson,
Director

Charlie Crist,
Governor

Introduction

Each month, the **Agency for Persons with Disabilities (APD)** serves more than 30,000 people across Florida with autism, mental retardation, spina bifida, cerebral palsy, Prader-Willi syndrome, and children aged 3 to 5 who are at high risk of being diagnosed with a developmental disability. The great majority of APD's services are provided through three Medicaid waivers administered by the agency.

To meet the needs of the diverse and often medically complex population it serves, APD offers a wide array of services. Some of the 33 services currently provided in the Developmental Disabilities Home and Community-based Services waiver (DD/HCBS) include nursing care, occupational therapy, behavior analysis, medical equipment, and adult day training. Companies under contract with the agency determine the medical need for a service and authorize it prior to delivery.

From January through March 2008, over 6,000 people on the wait list received services through the Family and Supported Living waiver and more than 8,500 received some state services, which leaves about 8,000 people who did not receive any state services. The number without services is something this agency hopes to address even as it works to hold spending within the budget appropriated by the legislature. APD's recently proposed measures to further limit spending in response to declining state revenues have concentrated on greater system efficiencies that will minimize any adverse impact on those we serve.

The agency continues to work with its stakeholders to develop implementation plans for the adjustments to the waiver program mandated by the legislature in 2007. The goal of implementing the changes is to ensure the health and safety of the people the agency currently serves while making adjustments to reduce costs. Part of the mandated change entails the implementation of new waiver programs which better match levels of client need with appropriate levels of care. APD will continue to work with the Agency for Health Care Administration to seek federal approval for this new program. Implementation is anticipated for the second half of fiscal year 2007-08.

Please share with us any comments or suggestions you have regarding this report. Our Legislative Affairs Director, Lucy Mohs, may be reached at 414-0488.

Glossary of Terms Used in Report

APD-Agency for Persons with Disabilities

CDC+ Waiver-Consumer-Directed Care Plus Waiver

FSL Waiver-Family and Supported Living Waiver

DD/HCBS Waiver- Developmental Disabilities Home and Community-Based Services Waiver

IFS-Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(5), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments

Month	DD/HCBS Waiver*		FSL Waiver		Both Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients	Total Waiver Payments	Total Enrollment	Total Waiver Payments
Jan-08	25,077	\$72,995,450	6,056	\$3,694,482	31,133	\$76,689,932
Feb-08	25,074	\$71,103,408	6,044	\$3,451,066	31,118	\$74,554,473
Mar-08	25,058	\$69,646,405	6,040	\$3,402,056	31,098	\$73,048,461

*CDC+ Waiver enrollment is included.

**as of the first day of the month

Source: Allocation, Budget, and Contracts (ABC) Database as of May 2, 2008, and Medicaid FREEDOM (Florida Rapid Entry to Enhanced Data On-line for Medicaid) Database as of May 3, 2008.

Table 1b summarizes types of services received by waiver enrollees. In addition to the DD/HCBS Waiver and the FSL Waiver, a third waiver, the Consumer-Directed Care Plus (CDC+) Waiver, is included. This waiver offers comparable services to the DD/HCBS Waiver, but it allows much greater flexibility and choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and non-waiver services to persons enrolled in a waiver. Room and Board, paid entirely from General Revenue, provides payment to residential providers for clients with identified support and income needs.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Source: ABC Database as of May 2, 2008, and Medicaid FREEDOM Database as of May 3, 2008.

Service Month	Client Counts by Service Category for Billed Services					
	DD/HCBS Waiver	CDC+ Waiver	FSL Waiver	IFS	Room and Board	Client Total*
Jan-08	22,991	975	5,434	1,141	883	29,397
Feb-08	22,947	970	5,405	1,101	871	29,313
Mar-08	22,796	961	5,331	1,061	749	29,080

*Clients are counted only once regardless of the number of different services they received.

Note: Based on historical payment patterns, waiver services are incomplete due to anticipated unsubmitted claims for the reported service months as of May 2, 2008.

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services
by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Jan-08	31,133	21,176	68.0%
Feb-08	31,118	20,363	65.4%
Mar-08	31,098	19,477	62.6%

*Enrolled as of the first day of the month in which the services were received
Source: ABC and Medicaid FREEDOM Database as of May 3, 2008.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services
by Month of Service

Service Description	DD/HCBS Waiver			CDC+ Waiver			FSL Waiver		
	Jan-08	Feb-08	Mar-08	Jan-08	Feb-08	Mar-08	Jan-08	Feb-08	Mar-08
Adult Day Training - Facility Based	10,232	10,103	9,757				910	907	843
Adult Day Training - Off Site	3	2	2						
Adult Dental Services	1,376	1,313	1,334						
Behavior Analysis Level 1	1,994	1,931	1,891				197	187	180
Behavior Analysis Level 2	986	944	910				141	134	135
Behavior Analysis Level 3	1,361	1,320	1,293				253	234	220
Behavior Assistant Services	442	436	439				24	20	17
Behavioral Analysis Services Assessment	86	87	77				24	16	17
CDC Consultant Services				632	617	560			
CDC Monthly Allowance				972	967	957			
Companion	5,790	5,873	5,856						
Consumable Medical Supplies	5,716	5,566	5,542				1,246	1,259	1,211
Dietician Services	151	155	119						
Durable Medical Equipment	57	50	34				11	8	5
Environmental Accessibility Adaptations	27	28	18				10	5	8
Environmental Accessibility Assessment	17	17	6				4	1	3
In-Home Support Services (Awake) Qtr. Hour	956	942	940				2,066	2,051	2,030
In-Home Support Services (Live-In) Day	1,686	1,677	1,652				3	1	1

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service Description	DD/HCBS Waiver			CDC+ Waiver			FSL Waiver		
	Jan-08	Feb-08	Mar-08	Jan-08	Feb-08	Mar-08	Jan-08	Feb-08	Mar-08
Medication Review	441	429	358						
Occupational Therapy	555	554	546						
Occupational Therapy Assessment	11	12	10						
Personal Care Assistance	4,008	3,981	3,931						
Personal Emergency Response - Installation	1	2	2				1		
Personal Emergency Response - Service	135	136	96				8	7	7
Physical Therapy	1,024	1,009	981						
Physical Therapy - Assessment	55	28	19						
Private Duty Nursing	92	91	89						
Private Duty Nursing - RN	1	1	1						
Residential Habilitation - Behavior Focused Day	18	16	14						
Residential Habilitation - Behavior Focused Month	849	841	822						
Residential Habilitation - Intensive Behavior Day	431	406	393						
Residential Habilitation - Quarter hour	115	111	113						
Residential Habilitation - Standard Day	341	343	295						
Residential Habilitation - Standard Monthly	5,250	5,188	5,039						
Residential Nursing Services	226	219	197						
Residential Nursing Services - RN			1						
Respiratory Therapy	15	15	16						
Respiratory Therapy Assessment	2		1						
Respite Care - Day	396	386	369				47	57	46
Respite Care - Quarter Hour	2,117	2,047	2,034				832	799	790
Skilled Nursing - LPN	69	77	69						
Skilled Nursing - RN	18	22	18						
Special Medical Home Care	13	13	13						
Specialized Mental Health - Assessment	30	26	17						
Specialized Mental Health - Therapy	693	665	626						
Speech Therapy	1,140	1,123	1,075						
Speech Therapy - Assessment	26	24	21						
Support Coordination	20,304	20,103	19,544				3,028	2,896	2,776
Support Coordination - Transitional	7	15	17						
Support Coordination Limited	1,722	1,656	1,605				2,098	2,081	2,030
Supported Employment	2,526	2,498	2,385				347	338	308
Supported Living Coaching	3,704	3,618	3,496				207	205	196
Transportation - Mile	145	145	140				15	14	13
Transportation - Month	1,281	1,254	1,181				124	126	117
Transportation - Trip	7,892	7,675	7,399				524	499	481
Unduplicated Client Count	22,991	22,947	22,796	975	970	961	5,434	5,405	5,331

Note: Based on historical payment patterns, waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid FREEDOM Database as of May 3, 2008.

2. Services Received by Persons on the Wait List

Table 2a lists non-Medicaid APD services received in January, February, and March 2008, by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the wait list aged 21 or younger may also receive services from the Florida Department of Education and other state and local resources.

Table 2a: Client Counts of Non-Medicaid Services Provided by APD to Clients Waiting for Waiver Services as of January 1, February 1, and March 1, 2008*

	Service Month		
	Jan-08	Feb-08	Mar-08
Total Wait List at Beginning of Month*	16,385	16,576	16,821
Paid Service			
ADULT DAY TRAINING	130	127	110
BEHAVIOR ANALYSIS	13	7	13
COMMUNITY BASED EMPLOYMENT	263	220	173
DENTAL SERVICES	4	1	1
ELIGIBILITY DETERMINATION AND SUPPORT PLANNING	12	11	11
HOME ASSISTANCE	21	26	15
MEDICAL SERVICES	2	4	4
NON-RESIDENTIAL SUPPORTS AND SERVICES	9	7	0
PERSONAL AND FAMILY CARE SERVICES	16	15	16
PSYCHOLOGICAL THERAPY	72	68	71
RECREATIONAL THERAPY	0	0	1
RESIDENTIAL HABILITATION SERVICES	26	28	28
RESPIRE	12	12	23
SPEECH THERAPY	1	1	0
SUPPLIES AND EQUIPMENT	26	42	61
SUPPORT COORDINATION	326	327	329
SUPPORTED LIVING	42	40	32
TRANSPORTATION	112	106	99
LONG TERM RESIDENTIAL SERVICES	16	15	13
Unduplicated Client Total	893	855	844

*FSL Waiver enrollees on the wait list for the DD/HCBS Waiver are excluded from the table. See Tables 1a, 1b, 1c and 1d for information on services used by waiver enrollees.

Table 2b provides client counts of persons on the wait list who received APD non-Medicaid services (see Table 2a) or Medicaid State Plan services. APD non-Medicaid services are provided with state General Revenue and grant dollars. Because some clients received both APD non-Medicaid and State Plan services, the client count in the third row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on wait list clients who received neither non-Medicaid nor Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of January 1, February 1, and March 1, 2008*

	Service Month		
	Jan-08	Feb-08	Mar-08
Total Wait List at Beginning of Month*	16,385	16,576	16,821
Client Count for APD Non-Medicaid Services**	893	855	844
Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***	7,981	7,933	7,975
All Wait List Clients Receiving Services**	8,501	8,440	8,475
Count of Wait List Clients Not Receiving Services	7,884	8,136	8,346
Percent of Wait List Not Receiving Services	48.1%	49.1%	49.6%

*FSL waiver enrollees on the wait list are excluded from the table.

**Clients are counted only once regardless of the number of different services they received.

***Based on historical payment patterns, Medicaid State Plan services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: Wait List and ABC as of May 2, 2008, and Medicaid FMMIS Databases as of May 3, 2008

3. Waiver Enrollment Offers for Persons on the Wait List as of April 1, 2008

Tables 3a and 3b provide the number of individuals on the April 1, 2008, wait list who were offered waiver enrollment in FY 2005/06, FY 2006/07 and FY 2007/08 to date, with results of those offers indicated. More than 37 percent of the clients on the wait list as of April 1, 2008, have been previously offered waiver enrollment since June 2005. Nearly two-thirds of those receiving offers are enrolled in the FSL Waiver even as they choose to wait for enrollment in the DD/HCBS Waiver.

Table 3a: Waiver Enrollment Offers for Persons on the Wait List as of April 1, 2008

	Number	Percent
Total Wait List Count*	23,003	100.0%
Wait List Clients Offered Waiver**		
FY 2007-08 to date	158	0.7%
FY 2006/07	339	1.5%
FY 2005/06	8,062	35.0%
Total	8,559	37.2%

*In contrast to counts in Tables 2a, 2b, and 5, the wait list count in this table includes FSL Waiver enrollees waiting for enrollment in the HCBS/DD Waiver, since many of these persons received waiver offers.

**Records of waiver enrollment offers prior to June 2005 are not available.

Source: Wait List Database and Waiver Enrollment Tracking System as of May 2, 2008.

Table 3b: Outcomes of Waiver Enrollment Offers for Persons on the Wait List as of April 1, 2008

Disposition of Waiver Offers	Offers in	Offers in	Offers in	Total	
	FY 2005/06	FY 2006/07	FY 2007/08	Number	Percent
Enrolled in FSL Waiver	4,304	339	158	4,801	56.1%
Remained in FSL Waiver	965	0	0	965	11.3%
Remained on non-Medicaid Services	13	0	0	13	0.2%
Ineligible for Waiver	316	0	0	316	3.7%
Received and Declined Offer	735	0	0	735	8.6%
Offer Sent--No Response	1,517	0	0	1,517	17.7%
Other	212	0	0	212	2.5%
Total	8,062	339	158	8,559	100.0%

In contrast to counts in Tables 2a, 2b and 5, the wait list count in this table includes FSL enrollees waiting for DD/HCBS services, since many of these persons received waiver offers.

Source: Wait List Database and Waiver Enrollment Tracking System as of May 2, 2008.

4. Waiver Enrollment in Fiscal Year 2007-08

Table 4 summarizes new waiver enrollment to date in FY 2007-08. The counts are broken out by client category, waiver, and month of enrollment.

Brown v. Bush enrollments are placements of institutionalized persons on a waiver pursuant to the lawsuit settlement. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment. Foster kids are children on the wait list for the DD/HCBS Waiver who have open cases in the Department of Children and Families' child welfare system. Pursuant to proviso language in the General Appropriations Act of 2006, these children have been given priority enrollment over other persons on the wait list for waiver services, with the exception of crisis enrollments.

Table 4: New Waiver Enrollment by Waiver and Enrollment Type

Month Enrolled	DD/HCBS Waiver			FSL Waiver		Total	Total	Total
	Brown v. Bush	Crisis Cases	Foster Kids	Crisis Cases	Foster Kids	Crisis Cases	Foster Kids	Total Enrolled
Oct-06		30		20		50		50
Nov-06	2	48	77	21	68	69	145	216
Dec-06		52	53	24	66	76	119	195
Jan-07	10	29	16	7	22	36	38	84
Feb-07	4	12	2	10	1	22	3	29
Mar-07	4	15		13	3	28	3	35
Apr-07	6	18		6		24		30
May-07	14	52	1	35		87	1	102
Jun-07	12	30	1	16		46	1	59
Jul-07		26		19		45		45
Aug-07		25		23		48		48
Sep-07		29		25		54		54
Oct-07		42		29		71		71
Nov-07		36		24		60		60
Dec-07	1	27		18		45		46
Jan-08	3	48		21		69		72
Feb-08	20	22		12		34		54
Mar-08	2	34		26		60		62
Total	78	575	150	349	160	924	310	1312

Source: ABC Database as of May 2, 2008, and other APD tracking systems

5. Length of Wait for Waiver Services

Table 5 gives a frequency distribution of the length of time individuals have been waiting for waiver services. Currently, the agency policy allows people to remain on the wait list if they refuse services, don't qualify for services, or do not respond to enrollment offers. The list is never purged so some people will always remain on the wait list. These counts include those who may have refused one or more earlier waiver enrollment offers and those who have received other state assistance. Persons enrolled in the Family and Supported Living Waiver are not included in the table.

Table 5: Length of Wait for Any Waiver Services
as of April 1, 2008

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
Less than 6 Months	Later than September 30, 2007	1,379	8.1%
6 to 12 Months	April 1, 2007 - September 30, 2007	1,437	8.4%
12+ to 18 Months	October 1, 2006 - March 31, 2006	1,594	9.4%
18+ to 24 Months	April 1, 2006 - September 30, 2006	1,575	9.2%
24+ to 30 Months	October 1, 2005 - March 31, 2005	1,411	8.3%
30+ to 36 Months	April 1, 2005 - September 30, 2005	1,214	7.1%
36+ to 42 Months	October 1, 2004 - March 31, 2004	1,112	6.5%
42+ to 48 Months	April 1, 2004 - September 30, 2004	1,247	7.3%
4+ to 5 Years	April 1, 2003 - March 31, 2003	2,837	16.7%
More than 5 Years	Earlier than April 1, 2003	3,229	19.0%
Total Wait List*		17,035	100.0%

*Excluding Family and Supported Living Waiver enrollees.

Source: Wait List Database as of May 2, 2008.

6. Projected Waiver Costs and Appropriations

Table 6 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 6: Fiscal Year 2007-08 Waiver Budget Forecast

	DD/HCBS Waiver*	FSL Waiver	Total
Appropriations for FY 2007-08			
State Funds	\$382,226,395	\$32,126,817	\$414,353,212
Federal Funds	\$504,815,601	\$42,430,661	\$547,246,262
Total	\$887,041,996	\$74,557,478	\$961,599,474
Adjust for impact of Special Session C			
State Funds	(\$1,955,494)	(\$180,480)	(\$2,135,974)
Federal Funds	(\$2,582,668)	(\$238,364)	(\$2,821,032)
Total	(\$4,538,162)	(\$418,844)	(\$4,957,006)
Available Appropriations			
State Funds	\$380,227,117	\$31,946,337	\$412,173,454
Federal Funds	\$502,276,717	\$42,192,297	\$544,469,014
Total	\$882,503,834	\$74,138,634	\$956,642,468
Projected Expenditures Including Effect of Policy Changes from SB 1124			
State Funds	\$396,227,909	\$20,452,552	\$416,680,461
Federal Funds	\$523,307,734	\$27,012,178	\$550,319,912
Total	\$919,535,643	\$47,464,730	\$967,000,373
Projected Surplus/Deficit			
State Funds	(\$16,000,792)	\$11,674,265	(\$4,326,527)
Federal Funds	(\$21,031,017)	\$15,418,483	(\$5,612,534)
Total	(\$37,031,809)	\$27,092,748	(\$9,939,061)

*Budget and expenditures for the CDC+ Waiver are included.

**The GAA has been corrected to reflect the FMAP reduction effective October 1, 2007.