



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

First Quarter Fiscal Year 2007/2008
(July, August, September 2007)

Submitted November 2007



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Director

Charlie Crist,
Governor

Introduction

Each month, the **Agency for Persons with Disabilities (APD)** serves more than 30,000 people across Florida with autism, mental retardation, spina bifida, cerebral palsy, Prader-Willi syndrome, and children aged 3 to 5 who are at high risk of being diagnosed with a developmental disability. The great majority of APD's services are provided through three Medicaid waivers administered by the agency.

To meet the needs of the diverse and often medically complex population it serves, APD offers a wide array of services. Some of the 33 services currently provided in the Developmental Disabilities Home and Community-based Services waiver (DD/HCBS) include: nursing care, occupational therapy, behavior analysis, medical equipment, and adult day training. Companies under contract with the agency determine the medical need for a service and authorize it prior to delivery.

From July through September 2007, about 6,000 people on the wait list received services through the Family and Supported Living waiver and more than 7,800 received some state services, which leaves about 7,400 people who did not receive any state services. The number without services is something this agency hopes to address even as it works to hold spending within the budget appropriated by the legislature. APD's recently proposed measures to further limit spending in response to declining state revenues have concentrated on greater system efficiencies that will minimize any adverse impact on those we serve.

The agency continues to work with its stakeholders to develop implementation plans for the adjustments to the waiver program mandated by the legislature in 2007. The goal of implementing the changes is to ensure the health and safety of the people the agency currently serves while making adjustments to reduce costs. Part of the mandated change entails the implementation of new waiver programs which better match levels of client need with appropriate levels of care. APD will continue to work with the Agency for Health Care Administration to seek federal approval for this new program. Implementation is anticipated for the second half of fiscal year 2007-08.

Please share with us any comments or suggestions you have regarding this report. Our Legislative Affairs Director, Lucy Mohs, may be reached at 414-0488.

Glossary of Terms Used in Report

APD-Agency for Persons with Disabilities

CDC+ Waiver-Consumer-Directed Care Plus Waiver

FSL Waiver-Family and Supported Living Waiver

DD/HCBS Waiver- Developmental Disabilities Home and Community-Based Services Waiver

IFS-Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(5), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments

| Month | DD/HCBS Waiver* | | FSL Waiver | | Both Waivers | |
|--------|--------------------|-----------------------|------------------|-----------------------|------------------|-----------------------|
| | Enrolled Clients** | Total Waiver Payments | Enrolled Clients | Total Waiver Payments | Total Enrollment | Total Waiver Payments |
| Jul-07 | 25,232 | \$75,414,025 | 6,122 | \$3,848,174 | 31,354 | \$79,262,199 |
| Aug-07 | 25,213 | \$75,919,834 | 6,132 | \$3,868,308 | 31,345 | \$79,788,143 |
| Sep-07 | 25,198 | \$69,271,362 | 6,134 | \$3,455,934 | 31,332 | \$72,727,296 |

*CDC+ Waiver enrollment is included.

**as of the first day of the month

Source: Allocation, Budget, and Contracts (ABC) Database as of October 22, 2007, and Medicaid FREEDOM (Florida Rapid Entry to Enhanced Data On-line for Medicaid) Database as of Nov 4, 2007

Table 1b summarizes types of services received by waiver enrollees. In addition to the DD/HCBS Waiver and the FSL Waiver, a third waiver, the Consumer-Directed Care Plus (CDC+) Waiver, is included. This waiver offers comparable services to the DD/HCBS Waiver, but it allows much greater flexibility and choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and non-waiver services to persons enrolled in a waiver. Room and Board, paid entirely from General Revenue, provides payment to residential providers for clients with identified support and income needs.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

| Service Month | Client Counts by Service Category for Billed Services | | | | | Client Total* |
|---------------|---|-------------|------------|-------|----------------|---------------|
| | DD/HCBS Waiver | CDC+ Waiver | FSL Waiver | IFS | Room and Board | |
| Jul-07 | 23,110 | 992 | 5,524 | 1,040 | 856 | 29,570 |
| Aug-07 | 23,048 | 988 | 5,492 | 1,000 | 821 | 29,487 |
| Sep-07 | 22,846 | 982 | 5,377 | 1,086 | 675 | 29,158 |

*Clients are counted only once regardless of the number of different services they received.

Note: Based on historical payment patterns, waiver services are incomplete due to anticipated unsubmitted claims for the reported service months as of October 22, 2007.

Source: ABC Database as of October 22, 2007 and Medicaid FREEDOM Database as of November 4, 2007

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services
by Month of Service

| Service Month | Total Waiver Enrollment | Medicaid State Plan | |
|---------------|-------------------------|---------------------|-------|
| | | # | % |
| Jul-07 | 31,354 | 19,861 | 63.3% |
| Aug-07 | 31,345 | 19,597 | 62.5% |
| Sep-07 | 31,332 | 19,130 | 61.1% |

Source: Medicaid FREEDOM Database as of November 4, 2007

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services
by Month of Service

| Service | DD/HCBS Waiver | | | CDC+ Waiver | | | FSL Waiver | | |
|--|----------------|--------|--------|-------------|--------|--------|------------|--------|--------|
| | Jul-07 | Aug-07 | Sep-07 | Jul-07 | Aug-07 | Sep-07 | Jul-07 | Aug-07 | Sep-07 |
| Adult Day Training - Facility Based | 9,957 | 9,898 | 9,665 | | | | 855 | 851 | 828 |
| Adult Dental Services | 1,248 | 1,467 | 1,239 | | | | | | |
| Behavior Analysis Level 1 | 1,832 | 1,833 | 1,685 | | | | 196 | 178 | 186 |
| Behavior Analysis Level 2 | 861 | 857 | 749 | | | | 152 | 133 | 111 |
| Behavior Analysis Level 3 | 1,323 | 1,316 | 1,226 | | | | 237 | 238 | 217 |
| Behavior Assistant Services | 362 | 384 | 373 | | | | 26 | 26 | 22 |
| Behavioral Analysis Services Assessment | 78 | 94 | 59 | | | | 15 | 20 | 19 |
| CDC Consultant Services | | | | 902 | 896 | 838 | | | |
| CDC Month Allowance | | | | 990 | 984 | 980 | | | |
| Chore Services | 53 | 47 | 47 | | | | | | |
| Companion | 3,782 | 3,873 | 3,794 | | | | | | |
| Consumable Medical Supplies | 5,591 | 5,484 | 5,274 | | | | 1,220 | 1,204 | 1,165 |
| Dietician Services | 151 | 137 | 131 | | | | | | |
| Durable Medical Equipment | 61 | 64 | 51 | | | | 10 | 9 | 13 |
| Environmental Accessibility Assessment | 17 | 23 | 27 | | | | 4 | 8 | 6 |
| Environmental Accessibility Adaptations | 43 | 27 | 30 | | | | 12 | 13 | 7 |
| Homemaker Services | 689 | 635 | 573 | | | | | | |
| In - Home Supports (Awake Staff) Qtr. Hour | 784 | 778 | 779 | | | | 1,920 | 1,943 | 1,913 |
| In - Home Supports (Live-In Staff) Day | 1,591 | 1,604 | 1,593 | | | | 2 | 2 | 1 |

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

| Service | DD/HCBS Waiver | | | CDC+ Waiver | | | FSL Waiver | | |
|--|----------------|--------|--------|-------------|--------|--------|------------|--------|--------|
| | Jul-07 | Aug-07 | Sep-07 | Jul-07 | Aug-07 | Sep-07 | Jul-07 | Aug-07 | Sep-07 |
| Medication Review | 718 | 412 | 304 | | | | | | |
| Non-Residential Support Services | 4,654 | 4,338 | 3,887 | | | | | | |
| Occupational Therapy | 501 | 493 | 493 | | | | | | |
| Occupational Therapy Assessment | 15 | 19 | 15 | | | | | | |
| Personal Care Assistance | 3,915 | 3,932 | 3,810 | | | | | | |
| Personal Emergency Response-Installation | | 1 | | | | | | | |
| Personal Emergency Response-Service | 101 | 102 | 62 | | | | 3 | 3 | 2 |
| Physical Therapy | 934 | 945 | 896 | | | | | | |
| Physical Therapy - Assessment | 39 | 42 | 36 | | | | | | |
| Private Duty Nursing | 81 | 82 | 80 | | | | | | |
| Psychological Assessment | | 2 | | | | | | | |
| Residential Habilitation-Day | 6,823 | 6,757 | 6,487 | | | | | | |
| Residential Habilitation - Quarter Hour | 99 | 101 | 97 | | | | | | |
| Residential Nursing Services | 209 | 195 | 168 | | | | | | |
| Respiratory Therapy | 12 | 12 | 13 | | | | | | |
| Respite Care - Day | 493 | 461 | 425 | | | | 63 | 63 | 58 |
| Respite Care - Quarter Hour | 1,867 | 1,907 | 1,833 | | | | 756 | 792 | 754 |
| Skilled Nursing - LPN | 66 | 64 | 67 | | | | | | |
| Skilled Nursing - RN | 28 | 25 | 21 | | | | | | |
| Special Medical Home Care | 13 | 12 | 11 | | | | | | |
| Specialized Mental Health - Assessment | 33 | 26 | 22 | | | | | | |
| Specialized Mental Health - Therapy | 623 | 638 | 577 | | | | | | |
| Speech Therapy | 1,101 | 1,098 | 1,032 | | | | | | |
| Speech Therapy - Assessment | 33 | 34 | 18 | | | | | | |
| Support Coordination | 22,163 | 21,990 | 21,003 | | | | 5,339 | 5,225 | 4,960 |
| Support Coordination - Transitional | 15 | 13 | 2 | | | | | | |
| Supported Employment | 2,340 | 2,380 | 2,310 | | | | 307 | 304 | 282 |
| Supported Living Coaching | 3,582 | 3,604 | 3,406 | | | | 197 | 184 | 185 |
| Therapeutic Massage | 774 | 776 | 751 | | | | | | |
| Therapeutic Massage - Assessment | 22 | 18 | 9 | | | | | | |
| Transportation (Mile) | 152 | 153 | 150 | | | | 15 | 14 | 13 |
| Transportation (Month) | 1,312 | 1,293 | 1,244 | | | | 121 | 120 | 118 |
| Transportation (Trip) | 7,674 | 7,570 | 7,162 | | | | 534 | 508 | 461 |
| Client Unduplicated Total | 23,110 | 23,048 | 22,846 | 992 | 988 | 982 | 5,524 | 5,492 | 5,377 |

Note: Based on historical payment patterns, waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid FREEDOM Database as of November 4, 2007

2. Services Received by Persons on the Wait List

Table 2a lists non-Medicaid APD services received in July, August, and September, 2007, by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the wait list aged 21 or younger may also receive services from the Florida Department of Education and other state and local resources.

Table 2a: Client Counts of Non-Medicaid Services Provided by APD to Clients Waiting for Waiver Services as of July 1, August 1, and September 1, 2007*

| | Service Month | | |
|--|---------------|--------|--------|
| | Jul-07 | Aug-07 | Sep-07 |
| Total Wait List at Beginning of Month* | 15,207 | 15,453 | 15,648 |
| Paid Service | | | |
| ADULT DAY TRAINING | 131 | 117 | 115 |
| BEHAVIOR ANALYSIS | 5 | 7 | 4 |
| COMMUNITY BASED EMPLOYMENT | 209 | 238 | 197 |
| DENTAL SERVICES | 1 | 0 | 0 |
| ELIGIBILITY DETERMINATION AND SUPPORT PLANNING | 7 | 11 | 8 |
| HOME ASSISTANCE | 14 | 15 | 15 |
| MEDICAL SERVICES | 3 | 2 | 3 |
| NON-RESIDENTIAL SUPPORTS AND SERVICES | 20 | 19 | 19 |
| OCCUPATIONAL THERAPY | 0 | 0 | 0 |
| PERSONAL AND FAMILY CARE SERVICES | 14 | 19 | 16 |
| PSYCHOLOGICAL THERAPY | 56 | 70 | 72 |
| RESIDENTIAL HABILITATION SERVICES | 27 | 27 | 25 |
| RESPIRE | 52 | 60 | 12 |
| SPEECH THERAPY | 1 | 1 | 1 |
| SUPPLIES AND EQUIPMENT | 18 | 15 | 18 |
| SUPPORT COORDINATION | 317 | 319 | 234 |
| SUPPORTED LIVING | 46 | 48 | 48 |
| TRANSPORTATION | 127 | 118 | 105 |
| LONG TERM RESIDENTIAL SERVICES | 18 | 14 | 15 |

*FSL Waiver enrollees on the wait list for the DD/HCBS Waiver are excluded from the table. See Tables 1a, 1b, 1c and 1d for information on services used by waiver enrollees.

6. Projected Waiver Costs and Appropriations

Table 6 provides information concerning projected waiver costs compared to the available appropriations, and any projected surpluses or deficits.

Table 6: Fiscal Year 2007-08 Waiver Budget Forecast

| | DD/HCBS Waiver* | FSL Waiver | Total |
|---|-----------------------|---------------------|-----------------------|
| Appropriations for FY 2007-08 | | | |
| State Funds | \$382,226,395 | \$32,126,817 | \$414,353,212 |
| Federal Funds | \$504,815,601 | \$42,430,661 | \$547,246,262 |
| Total | \$887,041,996 | \$74,557,478 | \$961,599,474 |
| Adjust for impact of Special Session C | | | |
| State Funds | (\$1,693,628) | (\$180,480) | (\$1,874,108) |
| Federal Funds | (\$2,236,816) | (\$238,364) | (\$2,475,180) |
| Total | (\$3,930,444) | (\$418,844) | (\$4,349,288) |
| Available Appropriations | | | |
| State Funds | \$380,532,767 | \$31,946,337 | \$412,479,104 |
| Federal Funds | \$502,578,785 | \$42,192,297 | \$544,771,082 |
| Total | \$883,111,552 | \$74,138,634 | \$957,250,186 |
| Projected Expenditures Including Effect of Policy Changes from SB 1124 | | | |
| State Funds | \$399,495,811 | \$21,280,381 | \$420,776,192 |
| Federal Funds | \$527,623,730 | \$28,105,511 | \$555,729,241 |
| Total | \$927,119,541 | \$49,385,892 | \$976,505,433 |
| Projected Surplus/Deficit | | | |
| State Funds | (\$18,963,045) | \$10,665,956 | (\$8,297,089) |
| Federal Funds | (\$25,044,945) | \$14,086,786 | (\$10,958,159) |
| Total | (\$44,007,990) | \$24,752,742 | (\$19,255,248) |

*Budget and expenditures for the CDC+ Waiver are included.

**The GAA has been corrected to reflect the FMAP reduction effective October 1, 2007.