Home and Community Based Services (HCBS) Waiver Monthly Surplus-Deficit Report for Waiver Program Expenditures

August 20, 2017
The Fiscal Year 2016-17 General Appropriations Act, House Bill 5001, includes proviso language for the Home and Community Based Services (HCBS) Waiver directing the Agency for Persons with Disabilities (APD) to provide the Governor, the President of the Senate, and the Speaker of the House of Representatives with monthly surplus-deficit reports projecting the total Medicaid waiver program expenditures for the fiscal year along with any corrective action plans necessary to align program expenditures with annual appropriations in accordance with sections 393.0661 (8) and (9), Florida Statutes. The Social Services Estimating Conference approved the reporting format and established a baseline based on the appropriations. The adopted baseline is the sole basis of comparison for any projected surplus or deficit reflected in the reports and discrete adjustments are made with a separate entry showing each change. Sources of data are the General Appropriations Acts for Fiscal Years 2015-16 and 2016-17, and Agency for Health Care Administration (AHCA) billings.

<table>
<thead>
<tr>
<th>FY 2015-16 APD WAIVER PROJECTIONS</th>
<th>General Revenue</th>
<th>Trust Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Appropriation</td>
<td>$393,639,949</td>
<td>$601,153,957</td>
<td>$994,793,906</td>
</tr>
<tr>
<td>Corrected FMAP Adjustment</td>
<td>$</td>
<td>$0</td>
<td>-</td>
</tr>
<tr>
<td>Supplemental Appropriation - BOB Section 29 for US DOL</td>
<td>$3,649,549</td>
<td>$5,912,749</td>
<td>$9,562,298</td>
</tr>
<tr>
<td>New Appropriation</td>
<td>$446,949,596</td>
<td>$682,226,906</td>
<td>$1,129,176,502</td>
</tr>
<tr>
<td>Less FY 2014-15 Projected Deficit</td>
<td>$</td>
<td>$</td>
<td>-</td>
</tr>
<tr>
<td>Less Expenditures from FY 2014:15 Paid in FY 2015:16 (Due to Reversion)</td>
<td>$(57,074,673)</td>
<td>$(87,454,760)</td>
<td>$(144,529,433)</td>
</tr>
<tr>
<td>Total APD Waiver Balance FY 2015-16</td>
<td>$(392,622)</td>
<td>$(600,352)</td>
<td>$(992,974)</td>
</tr>
</tbody>
</table>

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<tr>
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<th>Total</th>
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<tbody>
<tr>
<td>Appropriation</td>
<td>$427,800,911</td>
<td>$669,405,836</td>
<td>$1,097,206,747</td>
</tr>
<tr>
<td>Corrected FMAP Adjustment</td>
<td>$</td>
<td>$</td>
<td>-</td>
</tr>
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<td>New Appropriation</td>
<td>$427,800,911</td>
<td>$669,405,836</td>
<td>$1,097,206,747</td>
</tr>
<tr>
<td>Less FY 2015-16 Deficit</td>
<td>$(392,622)</td>
<td>$(600,352)</td>
<td>$(992,974)</td>
</tr>
<tr>
<td>Less FY 2016-17 Estimated Expenditures</td>
<td>$(427,408,289)</td>
<td>$(668,805,484)</td>
<td>$(1,096,213,773)</td>
</tr>
<tr>
<td>Total APD Waiver Balance FY 2016-17</td>
<td>$</td>
<td>$</td>
<td>-</td>
</tr>
</tbody>
</table>

**Per section 40, Chapter 2015-232, GAA (SB2500) Laws of Florida, the unexpended balance of the HCBS Waiver category was reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). This reversion was posted before the June 30, 2015 invoice was paid and before a certified forward payable was established. As a result, the June 2015 invoice and all subsequent invoices for FY 2014-15 were paid from FY 2015-16 current year funds.**
Services are authorized by APD through individual cost plans. The recipient uses these authorized amounts to purchase services permitted in the cost plans. Service providers directly bill AHCA for payment and are permitted to bill up to one year after the service date. APD uses its Allocation, Budget, and Contracts (ABC) data system for approved cost plan amounts and AHCA’s Florida Medicaid Management Information System (FMMIS) data set for actual expenditures. The combined analysis of these data provides insight as to the actual utilization of services compared with the clients’ authorized service plans.

Each month, AHCA submits an invoice to APD for provider payments made for waiver recipients. In turn, APD initiates a journal transfer to pay the invoice. In any given invoice, multiple service months are being reimbursed and frequently a payment will be for prior fiscal year claims. This creates a nonlinear relationship between the expenditure of APD appropriations and the actual claims paid on behalf of the program. Variable matching rates increase challenges in reconciling accounts.

The table below shows the actual state share of payments for invoices of AHCA billings from service providers for APD waiver programs. As the OMTF is a double budget entry, only those payments authorized to use unrestricted cash balances are charged to the trust fund.

<table>
<thead>
<tr>
<th>AHCA Billings for Fiscal Year 2015-2016</th>
<th>General Revenue</th>
<th>OMTF</th>
<th>SSBG</th>
<th>Total State Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jul-15</td>
<td>$12,819,277</td>
<td></td>
<td></td>
<td>$12,819,277</td>
</tr>
<tr>
<td>Aug-15</td>
<td>$26,676,245</td>
<td></td>
<td></td>
<td>$26,676,245</td>
</tr>
<tr>
<td>Sep-15</td>
<td>$39,328,916</td>
<td></td>
<td></td>
<td>$39,328,916</td>
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<tr>
<td>Nov-15</td>
<td>$31,466,482</td>
<td></td>
<td></td>
<td>$31,466,482</td>
</tr>
<tr>
<td>Dec-15</td>
<td>$36,840,332</td>
<td></td>
<td></td>
<td>$36,840,332</td>
</tr>
<tr>
<td>Jan-16</td>
<td>$27,618,706</td>
<td></td>
<td></td>
<td>$27,618,706</td>
</tr>
<tr>
<td>Feb-16</td>
<td>$29,113,278</td>
<td></td>
<td></td>
<td>$29,113,278</td>
</tr>
<tr>
<td>Mar-16</td>
<td>$39,148,072</td>
<td></td>
<td></td>
<td>$39,148,072</td>
</tr>
<tr>
<td>Apr-16</td>
<td>$28,481,801</td>
<td></td>
<td></td>
<td>$28,481,801</td>
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<tr>
<td>May-16</td>
<td>$40,019,494</td>
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<td></td>
<td>$40,019,494</td>
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<tr>
<td>Jun-16</td>
<td>$30,714,031</td>
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<td></td>
<td>$30,714,031</td>
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<tr>
<td>CF – July</td>
<td>$19,289,485</td>
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<td></td>
<td>$19,289,485</td>
</tr>
<tr>
<td>CF – August</td>
<td>$2,203,434</td>
<td></td>
<td></td>
<td>$2,203,434</td>
</tr>
<tr>
<td>CF – September</td>
<td>$169,588</td>
<td></td>
<td></td>
<td>$169,588</td>
</tr>
<tr>
<td><strong>Total Payments</strong></td>
<td><strong>$389,874,923</strong></td>
<td></td>
<td></td>
<td><strong>$389,874,923</strong></td>
</tr>
<tr>
<td>FY 2014-15 Expenditures Paid from</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FY 2015-16 Funds (June 2015, July 2015 CF, August 2015 CF, &amp; September 2015 CF)</td>
<td><strong>$57,074,673</strong></td>
<td></td>
<td></td>
<td><strong>$57,074,673</strong></td>
</tr>
<tr>
<td>Amount owed not paid</td>
<td>$392,622</td>
<td></td>
<td></td>
<td>$392,622</td>
</tr>
<tr>
<td><strong>Total Actual and Invoiced</strong></td>
<td><strong>$447,342,218</strong></td>
<td></td>
<td></td>
<td><strong>$447,342,218</strong></td>
</tr>
<tr>
<td>Appropriation Balance</td>
<td>($392,622)</td>
<td></td>
<td></td>
<td>($392,622)</td>
</tr>
<tr>
<td>Reversion of excess authority</td>
<td>$0</td>
<td></td>
<td></td>
<td>$0</td>
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<tr>
<td><strong>Waiver Balance</strong></td>
<td>($392,622)</td>
<td></td>
<td></td>
<td>($392,622)</td>
</tr>
</tbody>
</table>
**Expenditure Outlook Information**

This report provides actual payment amounts to AHCA. Each month’s payment reduces the available balance. Providers can submit claims for up to 12 months after the date of service, therefore, there is a gap between the date of service and date of payment. The actual expenditures in FY 2016-17 for moving individuals off the waitlist will reflect a phased in enrollment and a period of 3-6 months typically needed to develop personalized support plans. These factors will result in a delay in expenditures for FY 2016-17. However, this budget will be needed in FY 2017-18 to annualize these individuals’ finalized iBudget allocations.

<table>
<thead>
<tr>
<th>FY 2016-17 APD Waiver Expenditures</th>
<th>Waiver (Excluding Waitlist Transited)</th>
<th>Waitlist Transited</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>GR Budget Forecast</td>
<td>Actual Expenditures</td>
</tr>
<tr>
<td>------------------------------------</td>
<td>-------------------</td>
<td>---------------------</td>
</tr>
<tr>
<td>Appropriation</td>
<td>$412,612,167</td>
<td>$15,188,744</td>
</tr>
<tr>
<td>Adjusted Appropriation</td>
<td>$412,612,167</td>
<td>$15,188,744</td>
</tr>
<tr>
<td>July Expenditures</td>
<td>$13,146,573</td>
<td>$9,792,292</td>
</tr>
<tr>
<td>August Expenditures</td>
<td>$38,258,265</td>
<td>$41,057,923</td>
</tr>
<tr>
<td>September Expenditures</td>
<td>$30,591,664</td>
<td>$28,247,591</td>
</tr>
<tr>
<td>October Expenditures</td>
<td>$31,719,647</td>
<td>$32,937,202</td>
</tr>
<tr>
<td>November Expenditures</td>
<td>$40,045,777</td>
<td>$41,779,650</td>
</tr>
<tr>
<td>December Expenditures</td>
<td>$33,630,497</td>
<td>$30,896,155</td>
</tr>
<tr>
<td>January Expenditures</td>
<td>$37,005,888</td>
<td>$42,537,823</td>
</tr>
<tr>
<td>February Expenditures*</td>
<td>$31,056,650</td>
<td>$32,786,355</td>
</tr>
<tr>
<td>March Expenditures</td>
<td>$32,772,434</td>
<td>$34,330,229</td>
</tr>
<tr>
<td>April Expenditures</td>
<td>$31,123,408</td>
<td>$30,370,197</td>
</tr>
<tr>
<td>May Expenditures</td>
<td>$38,890,497</td>
<td>$44,681,369</td>
</tr>
<tr>
<td>June Expenditures</td>
<td>$32,962,341</td>
<td>$29,782,841</td>
</tr>
<tr>
<td>Certified Forward - July</td>
<td>$18,105,077</td>
<td>$23,987,758</td>
</tr>
<tr>
<td>Certified Forward - August</td>
<td>$2,588,180</td>
<td>$95,724</td>
</tr>
<tr>
<td>Certified Forward - September</td>
<td>$715,274</td>
<td>$26,330</td>
</tr>
<tr>
<td>Total FY 16-17 Allocation</td>
<td>$412,612,167</td>
<td>$15,188,744</td>
</tr>
<tr>
<td>Total FY 16-17 Actual Expenditures</td>
<td>$423,349,465</td>
<td>($14,640,751)</td>
</tr>
<tr>
<td>FY 2015-16 Carry Forward Balance</td>
<td>$392,622</td>
<td>($392,622)</td>
</tr>
<tr>
<td>Total Projected APD Waiver Balance</td>
<td>$412,612,167</td>
<td>$423,742,087</td>
</tr>
</tbody>
</table>

* The AHCA invoice for August expenditures included a credit of $171,704 for the Consumer Directed Care program (CDC+) for CDC+ Reinvestments.
** The AHCA invoice for October expenditures included a credit of $123,052 for the Consumer Directed Care program (CDC+) for CDC+ Reinvestments.
*** The AHCA invoice for April expenditures included a credit of $1,097,452 for the Consumer Directed Care program (CDC+) for CDC+ Reinvestments.
APD monitors claims data and publishes data sets based on services provided. As the data sets are not final until AHCA compiles and adjusts the amounts, the totals are preliminary and useful in forecasting expenditures and service utilization. However, these totals do not represent the exact final amounts due. This table constitutes a consolidated view of all services for iBudget and CDC+ data.

![Service Utilization Table]

If you have questions, please feel free to contact:
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Agency for Persons with Disabilities
(850) 414-6058
David.Dobbs@apdcares.org