



# **Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs**

Second Quarter Fiscal Year 2007/2008  
(October, November, December 2007)

Submitted February 2008



Jane E. Johnson,  
Director

Charlie Crist,  
Governor

## Introduction

Each month, the **Agency for Persons with Disabilities (APD)** serves more than 30,000 people across Florida with autism, mental retardation, spina bifida, cerebral palsy, Prader-Willi syndrome, and children aged 3 to 5 who are at high risk of being diagnosed with a developmental disability. The great majority of APD's services are provided through three Medicaid waivers administered by the agency.

To meet the needs of the diverse and often medically complex population it serves, APD offers a wide array of services. Some of the 33 services currently provided in the Developmental Disabilities Home and Community-based Services waiver (DD/HCBS) include: nursing care, occupational therapy, behavior analysis, medical equipment, and adult day training. Companies under contract with the agency determine the medical need for a service and authorize it prior to delivery.

From October through December 2007, about 6,000 people on the wait list received services through the Family and Supported Living waiver and more than 8,900 received some state services, which leaves about 7,300 people who did not receive any state services. The number without services is something this agency hopes to address even as it works to hold spending within the budget appropriated by the legislature. APD's recently proposed measures to further limit spending in response to declining state revenues have concentrated on greater system efficiencies that will minimize any adverse impact on those we serve.

The agency continues to work with its stakeholders to develop implementation plans for the adjustments to the waiver program mandated by the legislature in 2007. The goal of implementing the changes is to ensure the health and safety of the people the agency currently serves while making adjustments to reduce costs. Part of the mandated change entails the implementation of new waiver programs which better match levels of client need with appropriate levels of care. APD will continue to work with the Agency for Health Care Administration to seek federal approval for this new program. Implementation is anticipated for the second half of fiscal year 2007-08.

Please share with us any comments or suggestions you have regarding this report. Our Legislative Affairs Director, Lucy Mohs, may be reached at 414-0488.

## Glossary of Terms Used in Report

**APD**-Agency for Persons with Disabilities

**CDC+ Waiver**-Consumer-Directed Care Plus Waiver

**FSL Waiver**-Family and Supported Living Waiver

**DD/HCBS Waiver**- Developmental Disabilities Home and Community-Based Services Waiver

**IFS**-Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(5), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

## 1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments

Month	DD/HCBS Waiver*		FSL Waiver		Both Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients	Total Waiver Payments	Total Enrollment	Total Waiver Payments
Oct-07	25,152	\$77,649,109	6,059	\$3,879,212	31,211	\$81,528,321
Nov-07	25,147	\$72,845,890	6,053	\$3,566,912	31,200	\$76,412,802
Dec-07	25,118	\$65,631,905	6,060	\$3,358,649	31,178	\$68,990,555

\*CDC+ Waiver enrollment is included.

\*\*as of the first day of the month

Source: Allocation, Budget, and Contracts (ABC) Database as of February 1, 2008, and Medicaid FREEDOM (Florida Rapid Entry to Enhanced Data On-line for Medicaid) Database as of February 1, 2008.

Table 1b summarizes types of services received by waiver enrollees. In addition to the DD/HCBS Waiver and the FSL Waiver, a third waiver, the Consumer-Directed Care Plus (CDC+) Waiver, is included. This waiver offers comparable services to the DD/HCBS Waiver, but it allows much greater flexibility and choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and non-waiver services to persons enrolled in a waiver. Room and Board, paid entirely from General Revenue, provides payment to residential providers for clients with identified support and income needs.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services					
	DD/HCBS Waiver	CDC+ Waiver	FSL Waiver	IFS	Room and Board	Client Total*
Oct-07	23,095	981	5,494	1,144	891	29,519
Nov-07	22,976	977	5,457	1,134	811	29,373
Dec-07	22,810	974	5,333	1,082	764	29,087

\*Clients are counted only once regardless of the number of different services they received.

Note: Based on historical payment patterns, waiver services are incomplete due to anticipated unsubmitted claims for the reported service months as of February 1, 2008.

Source: ABC Database as of February 1, 2008 and Medicaid FREEDOM Database as of February 1, 2008.

## 1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services  
by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Oct-07	31,211	20,774	66.6%
Nov-07	31,200	16,972	54.4%
Dec-07	31,178	16,408	52.6%

Source: Medicaid FREEDOM Database as of February 1, 2008.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services  
by Month of Service

Service	DD/HCBS Waiver			CDC+ Waiver			FSL Waiver		
	Oct-07	Nov-07	Dec-07	Oct-07	Nov-07	Dec-07	Oct-07	Nov-07	Dec-07
Adult Day Training - Facility Based	10,164	10,110	9,989				890	888	865
Adult Day Training - Off Site			1						
Adult Dental Services	1,655	1,401	978						
Behavior Analysis Level 1	1,980	1,939	1,789				196	185	168
Behavior Analysis Level 2	954	943	928				141	128	123
Behavior Analysis Level 3	1,360	1,306	1,285				251	247	235
Behavior Assistant Services	399	408	401				25	24	24
Behavioral Analysis Services Assessment	100	81	59				26	24	17
CDC Consultant Services				901	891	857			
CDC Month Allowance				981	976	973			
Chore Services	42	34							
Companion	4,227	4,183	5,195						
Consumable Medical Supplies	5,626	5,516	5,397				1,233	1,215	1,183
Dietician Services	140	138	132						
Durable Medical Equipment	66	61	53				6	6	9
Environmental Accessibility Assessment	23	22	7				7	4	3
Environmental Accessibility Adaptations	35	38	22				12	4	13
Homemaker Services	555	497	573						
In - Home Supports (Awake Staff) Qtr. Hour	850	849	894				2,054	2,059	1,984
In - Home Supports (Live-In Staff) Day	1,641	1,663	1,650				2	1	2

## 1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service	DD/HCBS Waiver			CDC+ Waiver			FSL Waiver		
	Oct-07	Nov-07	Dec-07	Oct-07	Nov-07	Dec-07	Oct-07	Nov-07	Dec-07
Medication Review	522	365	409						
Non-Residential Support Services	4,079	3,689							
Occupational Therapy	539	543	536						
Occupational Therapy Assessment	26	31	12						
Personal Care Assistance	4,008	4,017	3,982						
Personal Emergency Response-Installation	2	1	1						
Personal Emergency Response-Service	126	85	77				3	2	2
Physical Therapy	991	988	983						
Physical Therapy - Assessment	36	26	25						
Private Duty Nursing	91	91	89						
Residential Habilitation-Standard Day	6,620	654	375						
Residential Habilitation-Standard Monthly		2	4,831						
Residential Habilitation-Behavior Focused Day			13						
Residential Habilitation-Behavior Focused Monthly			777						
Residential Habilitation-Intensive Behavior Day	219	223	411						
Residential Habilitation - Quarter Hour	101	103	110						
Residential Nursing Services	212	205	192						
Respiratory Therapy	14	15	15						
Respite Care - Day	457	451	441				68	56	54
Respite Care - Quarter Hour	2,006	1,977	2,056				801	812	774
Skilled Nursing - LPN	70	66	70						
Skilled Nursing - RN	19	20	17						
Special Medical Home Care	13	13	13						
Specialized Mental Health - Assessment	37	27	12						
Specialized Mental Health - Therapy	662	650	610						
Speech Therapy	1,147	1,129	1,109						
Speech Therapy - Assessment	35	22	22						
Support Coordination	22,237	21,851	21,168				5,222	5,195	5,001
Support Coordination - Transitional	1	1							
Supported Employment	2,483	2,449	2,408				325	334	312
Supported Living Coaching	3,730	3,681	3,634				209	205	187
Therapeutic Massage	750	696							
Therapeutic Massage - Assessment	24	6							
Transportation (Mile)	150	110	106				13	13	14
Transportation (Month)	1,297	1,277	1,230				127	129	127
Transportation (Trip)	7,760	7,668	7,573				510	507	490
<b>Client Unduplicated Total</b>	<b>23,095</b>	<b>22,976</b>	<b>22,810</b>	<b>981</b>	<b>977</b>	<b>974</b>	<b>5,494</b>	<b>5,457</b>	<b>5,333</b>

Note: Based on historical payment patterns, waiver services are incomplete due to anticipated unsubmitted claims.  
Source: Medicaid FREEDOM Database as of February 1 2008.

## 2. Services Received by Persons on the Wait List

Table 2a lists non-Medicaid APD services received in October, November, and December, 2007, by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the wait list aged 21 or younger may also receive services from the Florida Department of Education and other state and local resources.

Table 2a: Client Counts of Non-Medicaid Services Provided by APD to Clients Waiting for Waiver Services as of October 1, November 1, and December 1, 2007\*

	Oct-07	Nov-07	Dec-07
<b>Total Wait List at Beginning of Month*</b>	15,734	15,948	16,167
<b>Paid Service</b>			
ADULT DAY TRAINING	129	123	122
BEHAVIOR ANALYSIS	8	9	7
COMMUNITY BASED EMPLOYMENT	250	246	215
DENTAL SERVICES	0	3	2
ELIGIBILITY DETERMINATION AND SUPPORT PLANNING	12	10	16
HOME ASSISTANCE	19	18	18
MEDICAL SERVICES	5	3	3
NON-RESIDENTIAL SUPPORTS AND SERVICES	11	11	9
OCCUPATIONAL THERAPY	1	0	0
PERSONAL AND FAMILY CARE SERVICES	17	14	17
PSYCHOLOGICAL THERAPY	73	59	60
RESIDENTIAL HABILITATION SERVICES	24	20	22
RESPIRE	12	11	12
SPEECH THERAPY	1	1	1
SUPPLIES AND EQUIPMENT	33	30	25
SUPPORT COORDINATION	260	276	327
SUPPORTED LIVING	45	41	34
TRANSPORTATION	121	118	111
LONG TERM RESIDENTIAL SERVICES	11	12	12

\*FSL Waiver enrollees on the wait list for the DD/HCBS Waiver are excluded from the table. See Tables 1a, 1b, 1c and 1d for information on services used by waiver enrollees.

Table 2b provides client counts of persons on the wait list who received APD non-Medicaid services (see Table 2a) or Medicaid State Plan services. APD non-Medicaid services are provided with state General Revenue and grant dollars. Because some clients received both APD non-Medicaid and State Plan services, the client count in the third row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on wait list clients who received neither non-Medicaid nor Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of October 1, November 1, and December 1, 2007\*

	Service Month		
	Oct-07	Nov-07	Dec-07
<b>Client Count for Non-Medicaid Services**</b>	<b>809</b>	<b>805</b>	<b>823</b>
<b>Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***</b>	<b>7,729</b>	<b>5,557</b>	<b>5,560</b>
<b>All Wait List Clients Receiving Services**</b>	<b>8,197</b>	<b>6,148</b>	<b>6,161</b>
<b>Wait List Clients Not Receiving Services****</b>			
<b>Client Total</b>	<b>7,537</b>	<b>9,800</b>	<b>10,006</b>
<b>Percent of Wait List</b>	<b>47.9%</b>	<b>61.4%</b>	<b>61.9%</b>

\*FSL Waiver enrollees on the wait list for the DD/HCBS Waiver are excluded from the table.

\*\*Clients are counted only once regardless of the number of different services they received.

\*\*\*Based on historical payment patterns, Medicaid State Plan services are incomplete due to the anticipated unsubmitted claims for the reported service months as of February 1, 2008.

\*\*\*\*Any services provided by the Department of Education or other state or local resources are not reflected in this number.

Source: Wait List Database as of October 1, November 1, and December 1, 2007; ABC Database as of February 1, 2008; and Medicaid FREEDOM Database as of February 1, 2008.

### 3. Waiver Enrollment Offers for Persons on the Wait List as of January 1, 2008

Tables 3a and 3b provide the number of individuals on the January 1, 2008 wait list who were offered waiver enrollment in FY 2005/06, FY 2006/07 and FY 2007/08 to date, with results of those offers indicated. More than 35 percent of the clients on the wait list as of January 1, 2008 have been previously offered waiver enrollment since June 2005. Nearly two-thirds of those receiving offers are enrolled in the FSL Waiver even as they choose to wait for enrollment in the DD/HCBS Waiver.

Table 3a: Waiver Enrollment Offers for Persons on the Wait List as of January 1, 2008

	Number	Percent
<b>Total Wait List Count*</b>	22,329	100.0%
<b>Wait List Clients Offered Waiver**</b>		
<b>FY 2007-08 to date</b>	90	0.4%
<b>FY 2006/07</b>	213	1.0%
<b>FY 2005/06</b>	8,131	36.4%
<b>Total</b>	8,434	37.8%

\*In contrast to counts in Tables 2a, 2b, and 5, the wait list count in this table includes FSL Waiver enrollees waiting for enrollment in the HCBS/DD Waiver, since many of these persons received waiver offers.

\*\*Records of waiver enrollment offers prior to June 2005 are not available.

Source: Wait List Database as of January 1, 2008 and Waiver Enrollment Tracking System as of February 1, 2008.

Table 3b: Outcomes of Waiver Enrollment Offers for Persons on the Wait List as of January 1, 2008

Disposition of Waiver Offers	Offers in FY 2005/06	Offers in FY 2006/07	Offers in FY 2007/08	Total	
				#	%
<b>Enrolled in FSL Waiver</b>	4,326	213	90	4,629	54.9%
<b>Remained in FSL Waiver</b>	970	0	0	970	11.5%
<b>Remained on non-Medicaid Services</b>	13	0	0	13	0.2%
<b>Ineligible for Waiver</b>	318	0	0	318	3.8%
<b>Received and Declined Offer</b>	748	0	0	748	8.9%
<b>Offer Sent--No Response</b>	1,541	0	0	1,541	18.3%
<b>Other</b>	215	0	0	215	2.5%
<b>Total</b>	8,131	213	90	8,434	100.0%

In contrast to counts in Tables 2a, 2b and 5, the wait list count in this table includes FSL enrollees waiting for DD/HCBS services, since many of these people received waiver offers.

Source: Wait List Database as of January 1, 2008 and Waiver Enrollment Tracking System as of February 1, 2008.

#### 4. Waiver Enrollment in Fiscal Year 2007-08

Table 4 summarizes new waiver enrollment to date in FY 2007-08. The counts are broken out by client category, waiver, and month of enrollment.

Brown v. Bush enrollments are placements of institutionalized persons on a waiver pursuant to the lawsuit settlement. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment. Foster kids are children on the wait list for the DD/HCBS Waiver who have open cases in the Department of Children and Families' child welfare system. Pursuant to proviso language in the General Appropriations Act of 2006, these children have been given priority enrollment over other persons on the wait list for waiver services, with the exception of crisis enrollments.

Table 4: New Waiver Enrollment by Waiver and Enrollment Type

Month	DD/HCBS Waiver			FSL Waiver		Total	Total	Total
	Brown v. Bush	Crisis Cases	Foster Kids	Crisis Cases	Foster Kids	Crisis Cases	Foster Kids	
	Enrolled						Enrolled	
Jan-07	10	29	16	7	22	36	38	84
Feb-07	4	12	2	10	1	22	3	29
Mar-07	4	15		13	3	28	3	35
Apr-07	6	18		6		24		30
May-07	14	52	1	35		87	1	102
Jun-07	14	30	1	16		46	1	61
Jul-07		26		19		45		45
Aug-07	1	25		23		48		49
Sep-07		29		25		54		54
Oct-07	1	42		29		71		72
Nov-07		36		24		60		60
Dec-07	1	27		18		45		46
<b>Total</b>	<b>55</b>	<b>341</b>	<b>20</b>	<b>225</b>	<b>26</b>	<b>566</b>	<b>46</b>	<b>667</b>

Source: ABC Database as of February 1, 2008 and other APD tracking systems

## *5. Length of Wait for Waiver Services*

Table 5 gives a frequency distribution of the length of time individuals have been waiting for waiver services. Currently, the agency policy allows people to remain on the wait list if they refuse services, don't qualify for services, or do not respond to enrollment offers. The list is never purged so some people will always remain on the wait list. These counts include those who may have refused one or more earlier waiver enrollment offers and those who have received other state assistance. Persons enrolled in the Family and Supported Living Waiver are not included in the table.

Table 5: Length of Wait for Any Waiver Services  
as of January 1, 2008

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
Less than 6 Months	Later than June 30, 2007	1,347	8.2%
6 to 12 Months	January 1, 2007- June 30, 2007	1,600	9.8%
12+ to 18 Months	July 1, 2006 - December 31, 2006	1,602	9.8%
18+ to 24 Months	January 1, 2006 - June 30, 2006	1,443	8.8%
24+ to 30 Months	July 1, 2005 - December 31, 2005	1,259	7.7%
30+ to 36 Months	January 1, 2005 - June 30, 2005	1,200	7.3%
36+ to 42 Months	July 1, 2004- December 31, 2004	1,104	6.7%
42+ to 48 Months	January 1, 2004 - June 30, 2004	1,423	8.7%
4+ to 5 Years	January 1, 2003 - December 31, 2003	2,608	15.9%
More than 5 Years	Earlier than January 1, 2003	2,771	16.9%
<b>Total Wait List*</b>		<b>16,357</b>	<b>100.0%</b>

\*Excluding Family and Supported Living Waiver enrollees.

Source: Wait List Database as of January 1, 2008.

## 6. Projected Waiver Costs and Appropriations

Table 6 provides information concerning projected waiver costs compared to the available appropriations, and any projected surpluses or deficits.

Table 6: Fiscal Year 2007-08 Waiver Budget Forecast

	DD/HCBS Waiver*	FSL Waiver	Total
<b>Appropriations for FY 2007-08</b>			
State Funds	\$382,226,395	\$32,126,817	\$414,353,212
Federal Funds	\$504,815,601	\$42,430,661	\$547,246,262
<b>Total</b>	<b>\$887,041,996</b>	<b>\$74,557,478</b>	<b>\$961,599,474</b>
<b>Adjust for impact of Special Session C</b>			
State Funds	(\$1,693,628)	(\$180,480)	(\$1,874,108)
Federal Funds	(\$2,236,816)	(\$238,364)	(\$2,475,180)
<b>Total</b>	<b>(\$3,930,444)</b>	<b>(\$418,844)</b>	<b>(\$4,349,288)</b>
<b>Available Appropriations</b>			
State Funds	\$380,532,767	\$31,946,337	\$412,479,104
Federal Funds	\$502,578,785	\$42,192,297	\$544,771,082
<b>Total</b>	<b>\$883,111,552</b>	<b>\$74,138,634</b>	<b>\$957,250,186</b>
<b>Projected Expenditures Including Effect of Policy Changes from SB 1124</b>			
State Funds	\$399,495,811	\$21,280,381	\$420,776,192
Federal Funds	\$527,623,730	\$28,105,511	\$555,729,241
<b>Total</b>	<b>\$927,119,541</b>	<b>\$49,385,892</b>	<b>\$976,505,433</b>
<b>Projected Surplus/Deficit</b>			
State Funds	(\$18,963,045)	\$10,665,956	(\$8,297,089)
Federal Funds	(\$25,044,945)	\$14,086,786	(\$10,958,159)
<b>Total</b>	<b>(\$44,007,990)</b>	<b>\$24,752,742</b>	<b>(\$19,255,248)</b>

\*Budget and expenditures for the CDC+ Waiver are included.

\*\*The GAA has been corrected to reflect the FMAP reduction effective October 1, 2007.