



agency for persons with disabilities

State of Florida

**Home and Community Based Services (HCBS) Waiver
Monthly Surplus-Deficit Report for
Waiver Program Expenditures
FY 2020-21**

October 2021

Waiver Expenditures and Projections
by Date of Payment
General Revenue Only

| | Actual Expenditures | AHCA Total As of 09/30/2021 | AHCA Total with Actuals | 2020-21 GAA | Percent of Appropriation Remaining |
|----------------|-----------------------|-----------------------------|-------------------------|----------------------|------------------------------------|
| Month | FY 2020/21 | FY 2020/21 | FY 2020/21 | \$ 413,776,475 | 100.0% |
| 2020 July | \$ 21,110,838 | \$ 12,934,645 | \$ 21,110,838 | \$ 392,665,637 | 94.9% |
| 2020 August | \$ 31,838,377 | \$ 30,253,937 | \$ 31,838,377 | \$ 360,827,260 | 87.2% |
| 2020 September | \$ 29,982,571 | \$ 37,448,148 | \$ 29,982,571 | \$ 330,844,689 | 80.0% |
| 2020 October | \$ 29,114,713 | \$ 31,208,314 | \$ 29,114,713 | \$ 301,729,976 | 72.9% |
| 2020 November | \$ 36,867,722 | \$ 31,667,469 | \$ 36,867,722 | \$ 264,862,254 | 64.0% |
| 2020 December | \$ 31,181,614 | \$ 41,168,075 | \$ 31,181,614 | \$ 233,680,640 | 56.5% |
| 2021 January | \$ 30,792,491 | \$ 29,263,580 | \$ 30,792,491 | \$ 202,888,149 | 49.0% |
| 2021 February | \$ 31,663,474 | \$ 30,556,005 | \$ 31,663,474 | \$ 171,224,675 | 41.4% |
| 2021 March | \$ 39,414,169 | \$ 40,117,696 | \$ 39,414,169 | \$ 131,810,506 | 31.9% |
| 2021 April | \$ 28,730,046 | \$ 30,621,684 | \$ 28,730,046 | \$ 103,080,460 | 24.9% |
| 2021 May | \$ 31,611,163 | \$ 30,753,894 | \$ 31,611,163 | \$ 71,469,297 | 17.3% |
| 2021 June | \$ 39,267,915 | \$ 40,350,166 | \$ 39,267,915 | \$ 32,201,382 | 7.8% |
| 2021 July CF | \$ 16,225,631 | \$ 17,813,215 | \$ 16,225,631 | \$ 15,975,751 | 3.9% |
| 2021 August CF | \$ 2,497,702 | \$ 2,546,457 | \$ 2,497,702 | \$ 13,478,049 | 3.3% |
| 2021 Sept CF | \$ 775,369 | \$ 703,743 | \$ 775,369 | \$ 12,702,680 | 3.1% |
| Total | \$ 401,073,795 | \$ 407,407,027 | \$ 401,073,795 | \$ 12,702,680 | |

Notes: The FY 2020-21 GAA General Revenue amount was adjusted in January 2021 based upon the enhanced FMAP rate by \$60,877,675 and was placed in unbudgeted reserve via budget amendment EOG #B0416 with AHCA to realign budget authority for AHCA and other Health and Human Services (HHS) agencies, including APD.

The FY 2020-21 GAA General Revenue amount was further adjusted in June 2021 based upon the final forecast of the March 2021 SSEC for Medicaid Services Expenditures by an additional \$20,336,222 and was placed in unbudgeted reserve via budget amendment EOG #B0679 with AHCA to realign budget authority for AHCA and other Health and Human Services (HHS) agencies, including APD.

HCBS Waiver Enrollment

| Enrollment Category | | Jul-20 | Aug-20 | Sep-20 | Oct-20 | Nov-20 | Dec-20 | Jan-21 | Feb-21 | Mar-21 | Apr-21 | May-21 | Jun-21 | Grand Total Clients Enrolled by Category |
|--|--------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|--|
| Beginning Enrollment | | 35,073 | 35,081 | 35,119 | 35,130 | 35,182 | 35,170 | 35,202 | 35,259 | 35,210 | 35,236 | 35,227 | 35,139 | |
| Crisis | | | | | | | | | | | | | | |
| | Non-Waiting List | 12 | 10 | 4 | 5 | 11 | 12 | 6 | 6 | 6 | 4 | 7 | 8 | 91 |
| | 3-Intensive Needs | 0 | 2 | 6 | 3 | 2 | 2 | 3 | 1 | 4 | 3 | 4 | 4 | 34 |
| | 4-Caregiver Over Age 70 | 3 | 0 | 2 | 0 | 2 | 0 | 1 | 1 | 0 | 0 | 1 | 2 | 12 |
| | 5-Transition from School | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 3 | 0 | 1 | 7 |
| | 6-Age 21 and Older | 60 | 30 | 43 | 34 | 21 | 32 | 33 | 29 | 36 | 37 | 44 | 37 | 436 |
| | 7-Under Age 21 | 32 | 20 | 21 | 26 | 17 | 27 | 26 | 24 | 37 | 29 | 20 | 24 | 303 |
| | 8-Not Yet Assessed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| CBC Children | | 6 | 3 | 15 | 11 | 7 | 11 | 4 | 7 | 17 | 11 | 8 | 5 | 105 |
| Military Dependents | | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Phelan-McDermid Syndrome | | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 4 |
| Private ICF or Nursing Facility | | 0 | 2 | 1 | 1 | 1 | 3 | 2 | 3 | 0 | 2 | 0 | 2 | 17 |
| Public ICF - DDCs | | 2 | 2 | 1 | 1 | 1 | 1 | 0 | 2 | 1 | 1 | 0 | 2 | 14 |
| Waiting List to Waiver Offers (Previous Waves) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 2 |
| Re-enrollments (< 1 year) | | 1 | 0 | 4 | 6 | 4 | 3 | 4 | 1 | 0 | 5 | 0 | 3 | 31 |
| Disenrollments | | (109) | (31) | (86) | (37) | (80) | (59) | (22) | (123) | (78) | (105) | (173) | (364) | (1,267) |
| Ending Enrollment | | 35,081 | 35,119 | 35,130 | 35,182 | 35,170 | 35,202 | 35,259 | 35,210 | 35,236 | 35,227 | 35,139 | 34,864 | (209) |

| | Jul-20 | Aug-20 | Sep-20 | Oct-20 | Nov-20 | Dec-20 | Jan-21 | Feb-21 | Mar-21 | Apr-21 | May-21 | Jun-21 | Grand Totals |
|---|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|---------------|
| Significant Additional Needs (SANS) Annualized Allocations ALL FUNDS (Amounts provided are ALLOCATIONS ONLY and not actual expenditures) | \$ 5,676,836 | \$ 4,866,326 | \$ 5,913,102 | \$ 5,830,380 | \$ 4,743,789 | \$ 4,560,162 | \$ 5,140,220 | \$ 3,105,509 | \$ 5,084,005 | \$ 7,646,002 | \$ 7,810,048 | \$ 5,974,134 | \$ 66,350,513 |
| Client Count Represented by SANS Allocation | 407 | 269 | 246 | 354 | 267 | 272 | 278 | 187 | 251 | 301 | 464 | 365 | 3,661 |

Service Utilization

APD monitors claims data and publishes data sets based on services provided. As the data sets are not final until AHCA compiles and adjusts the amounts, the totals are preliminary and useful in forecasting expenditures and service utilization. However, these totals do not represent the exact final amounts due. This table constitutes a consolidated view of all services for iBudget and CDC+ data.

| Service Groupings | July-20 | August-20 | September-20 | October-20 | November-20 | December-20 | January-21 | February-21 | March-21 | April-21 | May-21 | June-21 | Grand Total |
|---|-------------------|-------------------|--------------------|-------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------------|--------------------|--------------------|----------------------|
| CDC+Allowance | 11,391,528 | 11,517,790 | 11,518,859 | 11,674,564 | 13,259,347 | 12,843,023 | 12,396,866 | 12,046,356 | 12,241,539 | 12,325,993 | 12,287,962 | 12,839,223 | 146,343,051 |
| Behavior Analysis | 1,435,683 | 1,294,962 | 1,514,471 | 1,379,700 | 1,410,434 | 1,751,728 | 1,364,923 | 1,382,028 | 1,430,502 | 1,499,466 | 1,588,723 | 1,749,508 | 17,802,127 |
| Behavior Assistance | 169,716 | 143,558 | 193,959 | 190,905 | 176,701 | 199,377 | 114,960 | 127,685 | 128,476 | 148,607 | 125,796 | 138,615 | 1,858,354 |
| Diet & Dental Care | 14,171 | 8,888 | 6,011 | 8,192 | 7,985 | 6,448 | 6,734 | 3,345 | 6,296 | 10,456 | 3,871 | 1,353 | 83,749 |
| Employment | 301,419 | 286,840 | 377,854 | 302,794 | 318,928 | 359,009 | 318,968 | 318,346 | 308,516 | 314,118 | 305,439 | 388,370 | 3,900,600 |
| Home & Environ Access | 149,302 | 57,965 | 107,544 | 81,911 | 136,190 | 48,960 | 86,885 | 71,050 | 85,954 | 78,193 | 64,777 | 127,049 | 1,095,780 |
| In-Home Svcs/Companion | 21,602,150 | 21,418,548 | 31,954,658 | 26,900,273 | 28,178,827 | 32,754,265 | 27,449,908 | 26,852,423 | 26,771,286 | 25,660,160 | 25,921,636 | 31,672,713 | 327,136,847 |
| Med/Personal Equip | 96,875 | 55,834 | 83,068 | 21,610 | 87,597 | 41,666 | 48,648 | 25,762 | 91,344 | 23,807 | 45,747 | 44,629 | 666,587 |
| Medical Supplies | 1,406,540 | 1,144,082 | 1,470,433 | 1,225,530 | 1,173,487 | 1,430,751 | 1,316,367 | 1,315,473 | 1,268,948 | 1,221,747 | 1,162,025 | 1,242,925 | 15,378,308 |
| Nursing/Spcl Med Care | 4,299,000 | 3,451,341 | 4,650,642 | 3,818,935 | 3,776,390 | 4,281,617 | 3,725,236 | 3,367,063 | 3,539,348 | 3,684,072 | 3,384,526 | 4,320,170 | 46,298,340 |
| Residential Habilitation - Behavior Focus | 111,840 | 33,022 | 88,922 | 65,448 | 83,860 | 106,644 | 60,218 | 65,997 | 31,804 | 38,731 | 60,669 | 57,328 | 804,484 |
| Residential Habilitation - Intensive Behavior | 6,215,971 | 5,852,985 | 8,580,803 | 6,922,625 | 7,301,797 | 8,687,490 | 7,143,213 | 7,313,819 | 7,142,200 | 7,424,653 | 7,224,649 | 8,682,640 | 88,492,845 |
| Residential Habilitation - Standard or ALF | 31,751,965 | 25,052,375 | 47,872,539 | 28,492,742 | 35,396,600 | 44,005,474 | 32,994,511 | 35,611,662 | 35,744,527 | 26,990,380 | 35,519,797 | 47,235,307 | 426,667,878 |
| Respite | 969,511 | 791,239 | 1,028,487 | 844,883 | 1,831,718 | 1,313,893 | 939,240 | 901,356 | 821,243 | 750,114 | 743,066 | 1,028,053 | 11,962,803 |
| Support Coach | 2,053,039 | 1,852,638 | 2,245,411 | 2,000,757 | 1,852,086 | 2,156,112 | 1,926,636 | 2,034,628 | 1,815,325 | 1,702,927 | 1,846,399 | 2,151,346 | 23,637,304 |
| Support Coordination | 5,054,148 | 4,252,461 | 5,473,843 | 4,050,503 | 4,566,616 | 5,342,618 | 4,060,523 | 4,536,637 | 4,281,309 | 4,022,186 | 4,587,831 | 5,686,382 | 55,915,058 |
| Therapeutic Svcs | 586,772 | 489,360 | 697,729 | 573,369 | 598,135 | 622,412 | 622,894 | 550,473 | 649,133 | 623,747 | 673,340 | 664,294 | 7,351,659 |
| Training - Facility | 1,072,089 | 1,130,876 | 3,346,068 | 4,223,173 | 4,559,766 | 4,524,689 | 4,149,922 | 4,330,653 | 4,690,065 | 4,893,976 | 5,421,503 | 6,012,295 | 48,355,074 |
| Training Off Site | 24,242 | 12,688 | 78,964 | 79,109 | 103,550 | 65,499 | 66,221 | 85,047 | 81,710 | 87,180 | 81,817 | 138,951 | 904,979 |
| Transportation | 564,551 | 768,518 | 894,705 | 1,113,390 | 1,401,353 | 1,399,344 | 1,257,925 | 1,330,681 | 1,582,914 | 1,627,605 | 1,846,295 | 2,322,238 | 16,109,518 |
| Grand Total | 89,270,511 | 79,615,973 | 122,184,970 | 93,970,412 | 106,221,367 | 121,941,019 | 100,050,798 | 102,270,483 | 102,712,439 | 93,128,117 | 102,895,867 | 126,503,390 | 1,240,765,345 |

Source: APD's Allocation, Budget and Contract Control (ABC) System. □

NOTE: For July 2020, service utilization data for the below service groupings do not include expenditure information for those providers who accepted advance payments for services in lieu of billing as allowed by AHCA as part of the COVID-19 response. (AHCA Florida Medicaid Health Care Alert dated March 18, 2020).

The affected service groupings are as follows:

- In-Home Svcs/Companion,
- Residential Habilitation (all levels),
- Training - Facility and Training Off Site

If you have questions, please feel free to contact:

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