



agency for persons with disabilities

*State of Florida*

**Home and Community Based Services (HCBS) Waiver  
Monthly Surplus-Deficit Report for  
Waiver Program Expenditures**

*April 20, 2016*

## Appropriations and Expenditure Information

The Fiscal Year 2015-16 General Appropriations Act, Senate Bill 2500-A, includes proviso language for the Home and Community Based Services (HCBS) Waiver directing the Agency for Persons with Disabilities (APD) to provide the Governor, the President of the Senate, and the Speaker of the House of Representatives with monthly surplus-deficit reports projecting the total Medicaid waiver program expenditures for the fiscal year along with any corrective action plans necessary to align program expenditures with annual appropriations in accordance with sections 393.0661 (8) and (9), Florida Statutes. The Social Services Estimating Conference approved the reporting format and established a baseline based on the appropriations. The adopted baseline is the sole basis of comparison for any projected surplus or deficit reflected in the reports and discrete adjustments are made with a separate entry showing each change. Sources of data are the General Appropriations Acts for Fiscal Years 2014-15 and 2015-16, and Agency for Health Care Administration (AHCA) billings.

FY 2014-15 APD WAIVER PROJECTIONS	General Revenue	Trust Funds	Total
Blended rate adopted by the SSEC for FY 2014-15	0.4044	0.5956	
Appropriation	\$ 379,579,280	\$ 559,044,061	\$ 938,623,341
Corrected FMAP Adjustment			\$ -
Agency Budget Amendment - Transfer from AHCA ICF/DD to Waiver	\$ 974,166	\$ 1,434,752	\$ 2,408,918
New Appropriation	\$ 380,553,446	\$ 560,478,813	\$ 941,032,259
Less FY 2013-14 Projected Deficit	\$ -	\$ -	\$ -
Less FY 2014-15 Expenditures	\$ (313,639,489)	\$ (461,927,991)	\$ (775,567,480)
<b>Total APD Waiver Balance FY 2014-15</b>	<b>\$66,913,957</b>	<b>\$98,550,822</b>	<b>\$165,464,779</b>
FY 2015-16 APD WAIVER PROJECTIONS	General Revenue	Trust Funds	Total
Blended rate adopted by the SSEC for FY 2015-16	0.3949	0.6051	
Appropriation	\$ 393,639,949	\$ 601,153,957	\$ 994,793,906
Corrected FMAP Adjustment			\$ -
Agency Budget Amendment - Transfer from AHCA ICF/DD to Waiver			\$ -
New Appropriation	\$ 393,639,949	\$ 601,153,957	\$ 994,793,906
Less FY 2014-15 Projected Deficit	\$ -	\$ -	\$ -
Less FY 2015-16 Expenditures	\$ (393,639,949)	\$ (601,153,957)	\$ (994,793,906)
Less Expenditures from FY 2014-15 Paid in FY 2015-16 (Due to Reversion)	\$ (57,074,673)	\$ (87,454,760)	\$ (144,529,433)
<b>Total APD Waiver Balance FY 2015-16</b>	<b>\$ (57,074,673)</b>	<b>\$ (87,454,760)</b>	<b>\$ (144,529,433)</b>

**Per section 40, Chapter 2015-232, GAA (SB2500) Laws of Florida, the unexpended balance of the HCBS Waiver category is reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). This reversion was posted before the June 30, 2015 invoice was paid and before a certified forward payable was established. As a result, the June 2015 invoice and all subsequent invoices for FY 2014-15 were paid from FY 2015-16 current year funds.**

## Appropriations and Expenditures Information

Services are authorized by APD through individual cost plans. The recipient uses these authorized amounts to purchase services permitted in the cost plans. Service providers directly bill AHCA for payment and are permitted to bill up to one year after the service date. APD uses its Allocation, Budget, and Contracts (ABC) data system for approved cost plan amounts and AHCA's Florida Medicaid Management Information System (FMMIS) data set for actual expenditures. The combined analysis of these data provides insight as to the actual utilization of services compared with the clients' authorized service plans.

Each month, AHCA submits an invoice to APD for provider payments made for waiver recipients. In turn, APD initiates a journal transfer to pay the invoice. In any given invoice, multiple service months are being reimbursed and frequently a payment will be for prior fiscal year claims. This creates a nonlinear relationship between the expenditure of APD appropriations and the actual claims paid on behalf of the program. Variable matching rates increase challenges in reconciling accounts.

The table below shows the actual state share of payments for invoices of AHCA billings from service providers for APD waiver programs. As the OMTF is a double budget entry, only those payments authorized to use unrestricted cash balances are charged to the trust fund.

AHCA Billings for Fiscal Year 2014-2015	General Revenue	OMTF	SSBG	Total State Funds
Jul-14	\$13,939,071			\$13,939,071
Aug-14	\$32,806,184			\$32,806,184
Sep-14	\$28,559,601			\$28,559,601
Oct-14	\$26,839,068			\$26,839,068
Nov-14	\$27,303,413			\$27,303,413
Dec-14	\$36,590,117			\$36,590,117
Jan-15	\$25,158,889			\$25,158,889
Feb-15	\$29,513,103			\$29,513,103
Mar-15	\$38,394,220			\$38,394,220
Apr-15	\$26,262,195			\$26,262,195
May-15	\$28,273,628			\$28,273,628
Jun-15				\$0
CF – July				\$0
CF – August				\$0
CF – September				TBD
<b>Total Payments</b>	<b>\$313,639,489</b>	<b>\$0</b>	<b>\$0</b>	<b>\$313,639,489</b>
Amount owed not paid				\$0
<b>Total Actual and Invoiced</b>	<b>\$313,639,489</b>	<b>\$0</b>	<b>\$0</b>	<b>\$313,639,489</b>
Appropriation Balance	\$66,913,957		\$0	\$66,913,957
Reversion of excess authority	(\$66,913,957)		\$0	(\$66,913,957)
<b>Waiver Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Note: Per section 40, Chapter 2015-232, GAA (SB2500) Laws of Florida, the unexpended balance of the HCBS Waiver category as of June 30, 2015 was reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). As a result, the June 2015 invoice and all certified forward invoices for FY 2014-15 were paid from FY 2015-16 current year funds.**

## Expenditure Outlook Information

This report provides actual payment amounts to AHCA. Each month's payment reduces the available balance. Providers can submit claims for up to 12 months after the date of service, therefore, there is a gap between the date of service and date of payment. The actual expenditures in FY 2015-16 for moving individuals off the waitlist will reflect a phased in enrollment and a period of 3-6 months typically needed to develop personalized support plans. These factors will result in a delay in expenditures for FY 2015-16. However, this budget will be needed in FY 2016-17 to annualize these individuals' finalized iBudget allocations.

FY 2015-16 APD Waiver Expenditures	Waiver (Excluding Waitlist Transitioned)			Waitlist Transitioned			AHCA Billings	Total Budget less Expenditures
	GR Budget Forecast	Actual Expenditures	Budget less Expenditures	GR Forecast	Actual Expenditures	Budget less Expenditures		
Appropriation	\$ 377,553,290			\$ 16,086,659				This column shows the difference of the GR budget forecast plus the GR Waitlist Forecast and actual expenditure by month
Adjustments	-							
Adjusted Appropriation	\$ 377,553,290			\$ 16,086,659				
July Expenditures	\$ 11,998,888	\$ 12,819,277	\$ (820,389)	\$ 511,244	\$ -	\$ 511,244	\$ 12,819,277	\$ (309,144)
August Expenditures	\$ 28,065,217	\$ 26,676,245	\$ 1,388,972	\$ 1,195,793	\$ -	\$ 1,195,793	\$ 26,676,245	\$ 2,584,765
September Expenditures	\$ 34,738,963	\$ 39,328,916	\$ (4,589,953)	\$ 1,480,146	\$ -	\$ 1,480,146	\$ 39,328,916	\$ (3,109,807)
October Expenditures	\$ 28,950,550	\$ 25,985,782	\$ 2,964,768	\$ 1,233,515	\$ -	\$ 1,233,515	\$ 25,985,782	\$ 4,198,282
November Expenditures	\$ 29,376,487	\$ 31,466,482	\$ (2,089,995)	\$ 1,251,663	\$ -	\$ 1,251,663	\$ 31,466,482	\$ (838,332)
December Expenditures	\$ 38,189,772	\$ 36,840,332	\$ 1,349,440	\$ 1,627,177	\$ -	\$ 1,627,177	\$ 36,840,332	\$ 2,976,617
January Expenditures	\$ 27,146,507	\$ 27,589,981	\$ (443,474)	\$ 1,156,649	\$ 28,725	\$ 1,127,924	\$ 27,618,706	\$ 684,450
February Expenditures*	\$ 28,345,432	\$ 29,044,375	\$ (698,943)	\$ 1,207,732	\$ 68,903	\$ 1,138,829	\$ 29,113,278	\$ 439,886
March Expenditures	\$ 37,215,383	\$ 38,956,654	\$ (1,741,271)	\$ 1,585,660	\$ 191,418	\$ 1,394,242	\$ 39,148,072	\$ (347,029)
April Expenditures	\$ 28,406,360			\$ 1,210,328				\$ -
May Expenditures	\$ 35,495,390			\$ 1,512,375				\$ -
June Expenditures	\$ 30,084,757			\$ 1,281,841				\$ -
Certified Forward – July	\$ 16,524,519			\$ 704,071				\$ -
Certified Forward – August	\$ 2,362,234			\$ 100,649				\$ -
Certified Forward – September	\$ 652,831			\$ 27,816				\$ -
Total FY 15-16 Allocation								
Total FY 15-16 Actual Expenditures		\$ 268,708,044	\$ (4,680,845)		\$ 289,046	\$ 10,960,533		\$ 6,279,688
FY 2014-15 Expenditures Paid from FY 2015-16 Funds (June 2015, July 2015 CF, August 2015 CF, & September 2015 CF)	\$ -	\$ 57,074,673	\$ (57,074,673)					\$ (57,074,673)
Total Projected APD Waiver Balance	\$ 377,553,290	\$ 325,782,717	\$ 51,770,573	\$ 16,086,659	\$ 289,046	\$ 10,960,533	\$ 268,997,090	\$ (50,794,985)

\* The AHCA invoice for February expenditures included a credit of \$1,883,762 for the Consumer Directed Care program (CDC+) for Personal Care Assistance (PCA) under age 21 that was paid through the Waiver in FY 2013-14.

**\* Per section 40, Chapter 2015-232, GAA (SB2500) Laws of Florida, the unexpended balance of the HCBS Waiver category as of June 30, 2015 was reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). As a result, the June 2015 invoice and all certified forward invoices for FY 2014-15 were paid from FY 2015-16 current year funds.**

## Service Utilization

APD monitors claims data and publishes data sets based on services provided. As the data sets are not final until AHCA compiles and adjusts the amounts, the totals are preliminary and useful in forecasting expenditures and service utilization. However, these totals do not represent the exact final amounts due. This table constitutes a consolidated view of all services for iBudget and CDC+ data.

Service Groupings	April-15	May-15	June-15	July-15	August-15	September-15	October-15	November-15	December-15	January-16	February-16	March-16	Grand Total
CDC+Allowance	5,860,726	5,580,132	5,542,770	5,594,081	5,630,394	5,794,729	5,975,745	5,943,271	6,149,630	6,262,075	6,153,469	6,196,396	70,683,416
Behavior Analysis	1,640,285	1,408,177	1,411,879	1,641,933	1,443,919	1,592,081	1,351,941	1,567,538	1,754,863	1,339,496	1,488,902	1,614,385	18,255,399
Behavior Assistance	465,992	385,182	385,146	506,956	376,877	464,011	371,098	427,335	514,938	389,706	322,018	400,138	5,009,395
Behavior Intense Svs	6,535,849	5,656,928	5,338,314	4,536,263	5,518,816	6,106,000	5,527,670	5,691,302	5,682,505	5,240,315	5,102,036	5,800,830	66,736,829
Behavioral Habilitation	139,620	98,007	143,312	164,003	128,404	128,404	124,688	153,174	111,338	136,385	163,784	192,225	1,683,343
Diet & Dental Care	491,968	467,444	555,071	648,099	420,686	632,420	422,652	455,923	462,772	226,589	356,074	485,893	5,625,591
Employment	474,156	437,652	399,545	496,775	439,054	488,046	402,586	421,657	470,537	416,812	431,303	502,753	5,380,877
Home & Environ Access	42,904	70,660	98,880	173,958	86,948	58,347	48,939	91,423	79,973	41,703	71,699	87,890	953,322
In-Home Svs/Companion	20,612,324	17,231,144	17,438,005	21,570,078	17,809,761	22,473,452	17,252,582	18,452,864	21,581,752	19,048,525	19,089,744	22,932,033	235,492,265
Med/Personal Equip	72,784	61,847	71,152	180,267	84,373	85,247	47,135	49,796	48,369	41,929	44,688	86,026	873,613
Medical Supplies	1,182,352	1,166,911	1,106,680	1,364,516	1,069,500	1,273,675	988,492	1,113,868	1,220,140	1,036,647	1,006,547	1,376,589	13,905,916
Nursing/Spcl Med Care	3,197,441	2,615,383	2,949,120	3,277,370	2,569,589	3,297,751	2,556,435	2,709,013	3,231,683	2,452,983	2,690,873	3,489,494	35,037,137
Residential Habilitation	35,005,105	20,326,547	26,102,740	34,992,011	19,773,873	39,577,352	16,084,770	26,815,176	35,592,888	19,613,029	26,857,063	39,038,815	339,779,368
Respite	1,387,869	1,101,632	1,193,667	1,603,137	1,222,000	1,359,917	1,049,428	1,120,638	1,253,229	1,149,458	1,087,241	1,329,071	14,857,288
Support Coach	2,299,630	2,037,755	1,963,047	2,414,822	2,098,741	2,446,908	1,825,089	1,946,345	2,283,842	1,992,252	2,095,076	2,335,851	25,739,358
Support Coordination	4,020,579	3,292,603	3,317,376	4,079,616	3,809,403	4,783,965	3,767,430	3,943,444	4,830,647	3,741,127	3,989,788	4,889,590	48,465,568
Therapeutic Svs	895,088	761,546	682,263	883,044	688,638	1,147,747	696,945	774,768	861,102	637,273	792,368	1,029,274	9,850,056
Training - Facility	6,798,391	6,330,637	5,514,979	6,549,661	5,934,650	6,903,077	5,492,519	6,314,710	6,095,993	5,524,052	5,774,770	6,750,438	73,983,878
Training Off Site	69,751	78,128	66,088	80,372	71,956	77,254	88,089	96,725	118,215	66,459	70,305	83,265	966,607
Transportation	2,474,538	2,310,655	2,107,211	2,478,377	2,262,256	2,631,400	2,258,848	2,374,874	2,439,097	2,178,531	2,251,376	2,729,968	28,497,130
<b>Grand Total</b>	<b>93,667,350</b>	<b>71,418,973</b>	<b>76,387,246</b>	<b>93,235,340</b>	<b>71,439,837</b>	<b>101,321,783</b>	<b>66,333,082</b>	<b>80,463,843</b>	<b>94,783,512</b>	<b>71,535,344</b>	<b>79,839,123</b>	<b>101,350,924</b>	<b>1,001,776,357</b>

Source: APD's Allocation, Budget and Contract Control (ABC) System.

If you have questions, please feel free to contact:

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